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Attorney Docket No. 108298535US  
Disclosure No. 00-0438

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: THEODORE M. TAYLOR  
APPLICATION No.: 09/939,432  
FILED: AUGUST 14, 2001  
FOR: **APPARATUS AND METHOD FOR  
CONDITIONING A CONTACT SURFACE OF A  
PROCESSING PAD USED IN PROCESSING  
MICROELECTRONIC WORKPIECES**

EXAMINER: EZIAMARA ANTHONY OJINI  
ART UNIT: 3723  
CONF. No: 2793

**Second Resubmission of the Information Disclosure Statement**  
**Filed on December 23, 2002**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The enclosed Information Disclosure Statement (IDS) was filed with the U.S. Patent and Trademark Office (USPTO) on December 23, 2002 for the above-referenced application, but the Examiner did not receive the cited references. The enclosed IDS was resubmitted to the USPTO on December 8, 2003, but, again, the Examiner did not receive the cited references. During a telephone conference on May 24, 2004, Joseph Hail requested the undersigned attorney to resubmit the IDS and cited references for consideration by the Examiner. Accordingly, applicant herewith resubmits for official consideration by the Examiner the IDS and cited references filed on December 23, 2002.

No fees are believed due, however, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

If the Examiner has any questions, he is requested to contact David T. Dutcher at (206) 359-6465.

Respectfully submitted,  
Perkins Coie LLP

David T. Dutcher  
Registration No. 51,638

Date: May 25, 2004

**Correspondence Address:**

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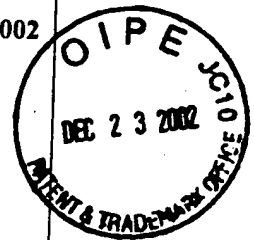
**Assistant Commissioner for Patents  
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Cited References (133); in re: Theodore M. Taylor, App. No.  
09/939,432, filed August 24, 2001, for APPARATUS AND METHOD  
FOR CONDITIONING A CONTACT SURFACE OF A  
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**Perkins Coie LLP**





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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

THEODORE M. TAYLOR

APPLICATION No.: 09/939,432

FILED: August 24, 2001

FOR: **APPARATUS AND METHOD FOR  
CONDITIONING A CONTACT  
SURFACE OF A PROCESSING PAD  
USED IN PROCESSING  
MICROELECTRONIC  
WORKPIECES**

EXAMINER: NOT YET ASSIGNED

ART UNIT: 3723

CONFIRMATION No.: 2793

**Information Disclosure Statement Within Three Months of  
Application Filing or Before First Action – 37 CFR 1.97(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ Copies of the following references can be found in parent U.S. Application No. :

- ☐ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority

citing the reference, (iii) a copy of a reference which appears to be an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- ☐ All cited references
- ☐ References marked by ampersands
- ☐ The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment


No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

- ☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,  
Perkins Coie LLP

Date: December 16, 2002

  
\_\_\_\_\_  
Paul T. Parker  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**COMPLETE IF KNOWN**

Application Number	09/939,432
Confirmation Number	2793
Filing Date	August 24, 2001
First Named Inventor	Theodore M. Taylor
Group Art Unit	3723
Examiner Name	Not yet assigned
Attorney Docket No.	108298535US

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE****STATEMENT BY APPLICANT**

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Sheet

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIALS*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
	AA	4,793,895		Kaanta et al.	12/27/88	
	AB	5,020,283		Tuttle	06/04/91	
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	BB	5,650,619		Hudson	07/22/97	

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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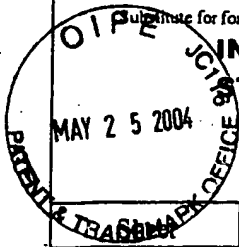
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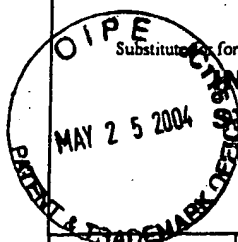


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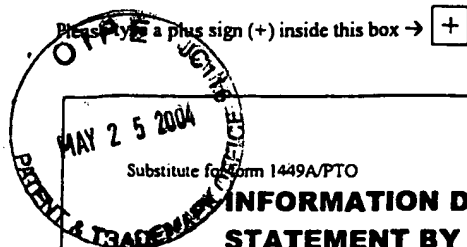
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EQ	6,312,558 B2	Moore	11/06/01
ER	6,319,420 B1	Dow	11/20/01

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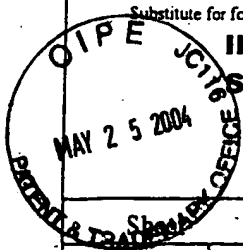
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**FOREIGN PATENT DOCUMENTS**

*EXAMINER INITIALS	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
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